

EQUITY MARKET - INVESTOR COMPLAINTS DATA

Name of Merchant Banker : Centrum Capital Limited

SEBI Registration Number : INM000010445

Data for month ending : November 2023

Activity: Consolidated Report

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2023	0	0	0	0
2.	August, 2023	0	0	0	0
3.	September, 2023	0	0	0	0
4.	October, 2023	0	0	0	0
5.	November, 2023	0	0	0	0
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	7	7	0
2.	2022	0	0	0	0
3.	2023	NA	NA	NA	NA
4.	2024	NA	NA	NA	NA
5.	2025	NA	NA	NA	NA
	Grand Total	0	7	7	0

Notes:

1. Our Company has not handled following activities since the last three calendar years.
 - IPO and FPO including OFS; and
 - Qualified Institutions Placement (QIP)
2. Further, Our Company has not handled following activities till date.
 - SME IPO and FPO including OFS; and
 - Delisting of Equity Shares
3. Hence no data for the above points have been disclosed

1. Category: Right Issue

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2023	0	0	0	0
2.	August, 2023	0	0	0	0
3.	September, 2023	0	0	0	0
4.	October, 2023	0	0	0	0
5.	November, 2023	0	0	0	0
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	7	7	0
2.	2022	0	0	0	0
3.	2023	NA	NA	NA	NA
4.	2024	NA	NA	NA	NA
5.	2025	NA	NA	NA	NA
	Grand Total	0	7	7	0

2. Category: Preferential Issue

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2023	0	0	0	0
2.	August, 2023	0	0	0	0
3.	September, 2023	0	0	0	0
4.	October, 2023	0	0	0	0
5.	November, 2023	0	0	0	0
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	0	0	0
2.	2022	0	0	0	0
3.	2023	NA	NA	NA	NA
4.	2024	NA	NA	NA	NA
5.	2025	NA	NA	NA	NA
	Grand Total	0	0	0	0

3. Category: Buyback of Securities

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	Grand Total	0	0	0	0	0	0

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3.	September, 2023	0	0	0	0
4.	October, 2023	0	0	0	0
5.	November, 2023	0	0	0	0
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	0	0	0
2.	2022	0	0	0	0
3.	2023	NA	NA	NA	NA
4.	2024	NA	NA	NA	NA
5.	2025	NA	NA	NA	NA
	Grand Total	0	0	0	0

4. Category: Substantial Acquisitions of Shares and Takeovers

S.N.	Received from	Pending asat the endof last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	Grand Total	0	0	0	0	0	0

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S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2023	0	0	0	0
2.	August, 2023	0	0	0	0
3.	September, 2023	0	0	0	0
4.	October, 2023	0	0	0	0
5.	November, 2023	0	0	0	0
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	0	0	0
2.	2022	0	0	0	0
3.	2023	NA	NA	NA	NA
4.	2024	NA	NA	NA	NA
5.	2025	NA	NA	NA	NA
	Grand Total	0	0	0	0
