

**EQUITY MARKET - INVESTOR COMPLAINTS DATA**

**Name of Merchant Banker** : Centrum Capital Limited

**SEBI Registration Number** : INM000010445

**Data for month ending** : November 2022

**Activity:** Consolidated Report

| S.N. | Received from                 | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time <sup>^</sup> (in days) |
|------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|------------------------------------------------|
| 1.   | Directly from Investors       | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 2.   | SEBI (SCORES)                 | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 3.   | Stock Exchanges (if relevant) | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 4.   | Other Sources (if any)        | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
|      | <b>Grand Total</b>            | <b>0</b>                            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                                    | <b>0</b>                     | <b>0</b>                                       |

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

| S.N. | Month              | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1.   | July, 2022         | 0                                   | 0                                    | 0                                      | 0                                            |
| 2.   | August, 2022       | 0                                   | 0                                    | 0                                      | 0                                            |
| 3.   | September, 2022    | 0                                   | 0                                    | 0                                      | 0                                            |
| 4.   | October, 2022      | 0                                   | 0                                    | 0                                      | 0                                            |
| 5.   | November, 2022     | 0                                   | 0                                    | 0                                      | 0                                            |
|      | <b>Grand Total</b> | <b>-</b>                            | <b>-</b>                             | <b>-</b>                               | <b>-</b>                                     |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-**

| S.N. | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1.   | 2021               | 0                                  | 7                                   | 7                                   | 0                                         |
| 2.   | 2022               | NA                                 | NA                                  | NA                                  | NA                                        |
| 3.   | 2023               | NA                                 | NA                                  | NA                                  | NA                                        |
| 4.   | 2024               | NA                                 | NA                                  | NA                                  | NA                                        |
| 5.   | 2025               | NA                                 | NA                                  | NA                                  | NA                                        |
|      | <b>Grand Total</b> | <b>0</b>                           | <b>7</b>                            | <b>7</b>                            | <b>0</b>                                  |

**Notes:**

1. Our Company has not handled following activities since the last three calendar years.
  - IPO and FPO including OFS; and
  - Qualified Institutions Placement (QIP)
2. Further, Our Company has not handled following activities till date.
  - SME IPO and FPO including OFS; and
  - Delisting of Equity Shares
3. Hence no data for the above points have been disclosed

**1. Category: Right Issue**

| S.N. | Received from                | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time <sup>^</sup> (in days) |
|------|------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|------------------------------------------------|
| 1.   | Directly from Investors      | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 2.   | SEBI (SCORES)                | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 3.   | Stock Exchanges(if relevant) | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 4.   | Other Sources (if any)       | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 5.   | <b>Grand Total</b>           | <b>0</b>                            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                                    | <b>0</b>                     | <b>0</b>                                       |

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

| S.N. | Month              | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1.   | July, 2022         | 0                                   | 0                                    | 0                                      | 0                                            |
| 2.   | August, 2022       | 0                                   | 0                                    | 0                                      | 0                                            |
| 3.   | September, 2022    | 0                                   | 0                                    | 0                                      | 0                                            |
| 4.   | October, 2022      | 0                                   | 0                                    | 0                                      | 0                                            |
| 5.   | November, 2022     | 0                                   | 0                                    | 0                                      | 0                                            |
|      | <b>Grand Total</b> | -                                   | -                                    | -                                      | -                                            |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-**

| S.N. | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1.   | 2021               | 0                                  | 7                                   | 7                                   | 0                                         |
| 2.   | 2022               | NA                                 | NA                                  | NA                                  | NA                                        |
| 3.   | 2023               | NA                                 | NA                                  | NA                                  | NA                                        |
| 4.   | 2024               | NA                                 | NA                                  | NA                                  | NA                                        |
| 5.   | 2025               | NA                                 | NA                                  | NA                                  | NA                                        |
|      | <b>Grand Total</b> | <b>0</b>                           | <b>7</b>                            | <b>7</b>                            | <b>0</b>                                  |

**2. Category: Preferential Issue**

| S.N. | Received from                | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time <sup>^</sup> (in days) |
|------|------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|------------------------------------------------|
| 1.   | Directly from Investors      | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 2.   | SEBI (SCORES)                | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 3.   | Stock Exchanges(if relevant) | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 4.   | Other Sources (if any)       | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 5.   | <b>Grand Total</b>           | <b>0</b>                            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                                    | <b>0</b>                     | <b>0</b>                                       |

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

| S.N. | Month              | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1.   | July, 2022         | 0                                   | 0                                    | 0                                      | 0                                            |
| 2.   | August, 2022       | 0                                   | 0                                    | 0                                      | 0                                            |
| 3.   | September, 2022    | 0                                   | 0                                    | 0                                      | 0                                            |
| 4.   | October, 2022      | 0                                   | 0                                    | 0                                      | 0                                            |
| 5.   | November, 2022     | 0                                   | 0                                    | 0                                      | 0                                            |
|      | <b>Grand Total</b> | -                                   | -                                    | -                                      | -                                            |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-**

| S.N. | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1.   | 2021               | 0                                  | 0                                   | 0                                   | 0                                         |
| 2.   | 2022               | NA                                 | NA                                  | NA                                  | NA                                        |
| 3.   | 2023               | NA                                 | NA                                  | NA                                  | NA                                        |
| 4.   | 2024               | NA                                 | NA                                  | NA                                  | NA                                        |
| 5.   | 2025               | NA                                 | NA                                  | NA                                  | NA                                        |
|      | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                            | <b>0</b>                            | <b>0</b>                                  |

### 3. Category: Buyback of Securities

| S.N. | Received from                | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time <sup>^</sup> (in days) |
|------|------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|------------------------------------------------|
| 1.   | Directly from Investors      | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 2.   | SEBI (SCORES)                | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 3.   | Stock Exchanges(if relevant) | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 4.   | Other Sources (if any)       | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 5.   | <b>Grand Total</b>           | <b>0</b>                            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                                    | <b>0</b>                     | <b>0</b>                                       |

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| S.N. | Month              | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1.   | July, 2022         | 0                                   | 0                                    | 0                                      | 0                                            |
| 2.   | August, 2022       | 0                                   | 0                                    | 0                                      | 0                                            |
| 3.   | September, 2022    | 0                                   | 0                                    | 0                                      | 0                                            |
| 4.   | October, 2022      | 0                                   | 0                                    | 0                                      | 0                                            |
| 5.   | November, 2022     | 0                                   | 0                                    | 0                                      | 0                                            |
|      | <b>Grand Total</b> | -                                   | -                                    | -                                      | -                                            |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

| S.N. | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1.   | 2021               | 0                                  | 0                                   | 0                                   | 0                                         |
| 2.   | 2022               | NA                                 | NA                                  | NA                                  | NA                                        |
| 3.   | 2023               | NA                                 | NA                                  | NA                                  | NA                                        |
| 4.   | 2024               | NA                                 | NA                                  | NA                                  | NA                                        |
| 5.   | 2025               | NA                                 | NA                                  | NA                                  | NA                                        |
|      | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                            | <b>0</b>                            | <b>0</b>                                  |

**4. Category: Substantial Acquisitions of Shares and Takeovers**

| S.N. | Received from                | Pending asat the endof last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|------|------------------------------|-----------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|-------------------------------------|
| 1.   | Directly from Investors      | 0                                 | 0                                    | 0                                     | 0                                           | 0                            | 0                                   |
| 2.   | SEBI (SCORES)                | 0                                 | 0                                    | 0                                     | 0                                           | 0                            | 0                                   |
| 3.   | Stock Exchanges(if relevant) | 0                                 | 0                                    | 0                                     | 0                                           | 0                            | 0                                   |
| 4.   | Other Sources (if any)       | 0                                 | 0                                    | 0                                     | 0                                           | 0                            | 0                                   |
| 5.   | <b>Grand Total</b>           | <b>0</b>                          | <b>0</b>                             | <b>0</b>                              | <b>0</b>                                    | <b>0</b>                     | <b>0</b>                            |

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

| S.N. | Month              | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1.   | July, 2022         | 0                                   | 0                                    | 0                                      | 0                                            |
| 2.   | August, 2022       | 0                                   | 0                                    | 0                                      | 0                                            |
| 3.   | September, 2022    | 0                                   | 0                                    | 0                                      | 0                                            |
| 4.   | October, 2022      | 0                                   | 0                                    | 0                                      | 0                                            |
| 5.   | November, 2022     | 0                                   | 0                                    | 0                                      | 0                                            |
|      | <b>Grand Total</b> | <b>-</b>                            | <b>-</b>                             | <b>-</b>                               | <b>-</b>                                     |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-**

| S.N. | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1.   | 2021               | 0                                  | 0                                   | 0                                   | 0                                         |
| 2.   | 2022               | NA                                 | NA                                  | NA                                  | NA                                        |
| 3.   | 2023               | NA                                 | NA                                  | NA                                  | NA                                        |
| 4.   | 2024               | NA                                 | NA                                  | NA                                  | NA                                        |
| 5.   | 2025               | NA                                 | NA                                  | NA                                  | NA                                        |
|      | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                            | <b>0</b>                            | <b>0</b>                                  |

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